SEP 3 0 2003 B

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hideaki NOMURA et al

Title:

POWDERY PREPARATION FOR MUCOSAL ADMINISTRATION

CONTAINING POLYMERIC MEDICINE

I AINING POL I MERIC MI

Appl. No.:

09/720,970

Filing Date:

1/3/2001

Examiner:

Sharmila S. Gollamudi

Art Unit:

1616

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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENTAL UNDER 37 CFR §1.56

Mail Stop DD Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of a document known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of the listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

# TIMING OF THE DISCLOSURE

The listed document is being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.113, or an action that otherwise closes prosecution in the application.

### RELEVANCE OF EACH DOCUMENT

The document is in English.

Applicants respectfully request that the listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

#### **STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this supplemental information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date

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Approved for use through 10/31/2002. OMB 0651-0031

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|         | Substitute fo   | r form 1449B | /PTO     |                        | Complete if Known    | Out          |
|         | INFORMATION     | ON DISCLO    | SURE     | Application Number     | 09/720,970           | 1 3          |
|         | STATEMEN'       | T BY APPLI   | CANT     | Filing Date            | 1/3/2001             | SEP 3 0 2003 |
|         | Data Cubmittad  | Contombor    | 30 3003  | First Named Inventor   | Hideaki Nomura       | -            |
|         | Date Submitted: | September    | 30, 2003 | Group Art Unit         | 1616                 | 12 6         |
|         | (use as many s  | heets as ne  | cessary) | Examiner Name          | Sharmila S. Gollamud |              |
| Sheet   | 1               | of           | 1        | Attorney Docket Number | 081356-0156          | NAJEMA       |

|                       | U.S. PATENT DOCUMENTS |             |   |   |                           |  |  |  |  |
|-----------------------|-----------------------|-------------|---|---|---------------------------|--|--|--|--|
| Examiner<br>Initials* | Cite<br>No.1          | U.S. Patent | Document                                | Name of Patentee or Applicant of Cited Document | Date Patent<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |  |  |  |
|                       |                       | Number      | Kind<br>Code <sup>2</sup> (if<br>known) |   |                           |  |  |  |  |
|                       | A1                    | 4,760,093   |   | Blank et al.                                    | 07-26-1988                |  |  |  |  |
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|                       | FOREIGN PATENT DOCUMENTS |                            |                                      |                                |   |   |  |  |                |  |
|-----------------------|--------------------------|----------------------------|--------------------------------------|--------------------------------|---|---|--|--|----------------|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | For<br>Office <sup>3</sup> | eign Patent D<br>Number <sup>4</sup> | ocument<br>Kind Co<br>(if know | ode <sup>5</sup>                        | Name of Patentee or<br>Applicant of Cited Documents | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |  |
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| NON PATENT LITERATURE DOCUMENTS |                          |  |   |  |  |
|---------------------------------|--------------------------|--|---|--|--|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | Т |  |  |

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| Examiner  | Date       |  |
| Signature | Considered |  |
|           |            |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.